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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/039,417	01/04/2002	Robert S. Gittins	SUN-P5112-PIP	8974
22835	7590	10/18/2004	EXAMINER	
PARK, VAUGHAN & FLEMING LLP 508 SECOND STREET SUITE 201 DAVIS, CA 95616			LE, DIEU MINH T	
			ART UNIT	PAPER NUMBER
			2114	

DATE MAILED: 10/18/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/039,417

Applicant(s)

GITTINS ET AL.

Examiner

Dieu-Minh Le

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 04 January 2002.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 04 January 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

DETAILED ACTION

1. This Office Action is response to the communication filed on 01/04/02 in application 10/039,417.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-28 are rejected under 35 U.S.C. 102(e) as being anticipate over Talagala et al. (U.S. Patent Publication 2002/0162076 A1 hereafter referred to as Talagala).

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As per claim 1:

Talagala explicitly teaches:

- A method for facilitating validation (i.e., error detection, verification, scrubbing, etc...) of data retrieved from a secondary storage device (i.e., plurality of storage device) [abstract, fig. 1A-1B and 9, col. 2, par. 0016 and col. 5, par. 0051],

comprising:

- receiving a write request to write new data to a block of the secondary storage device [col. 10, claim 1];
- calculating a new checksum value from the new data [col. 10, claim 1];
- retrieving a current checksum value and an old checksum value (i.e., new checksum and preexisting checksum) associated with the block of the secondary storage device [col. 10, claim 1];
- performing a checksum write operation (i.e., comparison operation) to a validation device to update the current checksum value and the old checksum value [col. 11, claim 1];
- performing a data write operation to the secondary storage device to write the new data to the block of the

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secondary storage device (i.e., plurality of storage device) [col. 11, claim 3].

As per claims 2-4:

Talagala further teaches:

- if the current checksum value is invalid, which indicates that the current checksum value has not been written to, and the old checksum value is similarly invalid, performing the checksum write operation involves updating the current checksum value to be the new checksum value [col. 5, par 0052-0054 and col. 11, claims 2-4];

- if the current checksum value is valid and the old checksum value is invalid, performing the checksum write operation involves updating the old checksum value to be the current checksum value, and updating the current checksum value to be the new checksum value [col. 5, par 0052-0054 and col. 11, claims 2-4];

- if the current checksum value is valid and the old checksum value is valid, performing the checksum write operation involves updating the old checksum value to match data that is presently stored in the block on the secondary

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storage device, and updating the current checksum value to be the new checksum value [col. 5, par 0052-0054 and col. 11, claims 2-4].

As per claim 5:

Talagala further teaches:

- updating the old checksum value to match data that is presently stored in the block involves: determining whether the current checksum value or the old checksum value matches data that is presently stored in the block on the secondary storage device; and using the matching value to update the old checksum value [col. 5, par 0052-0054 and col. 11, claims 2-4].

As per claim 6:

Talagala further teaches:

- receiving a read request to read a second block of data from the secondary storage device [col. 7, par. 0065 and col. 11, claim 5];
- performing a data read operation to read the second block of data from the secondary storage device [col. 10, claim 1];

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- calculating a checksum value from the second block of data [col. 10, claim 1];
- performing a checksum read operation (i.e., comparison operation) to read an existing checksum value for the second block of data from the validation device [col. 11, claim 1];
- comparing the calculated checksum value with the existing checksum value (i.e., new checksum and preexisting checksum); and indicating an error condition if the calculated checksum value does not match the existing checksum value [fig. 9, col. 5, par 0052-0054, col. 10, par. 0084, and col. 11, claims 2-4].

As per claims 7-9:

Talagala further teaches:

- the secondary storage device is a disk drive [col. 10, claim 1];
- the validation device is separate from the secondary storage device [col. 11, claim 6];
- the validation device and the secondary storage device are the same device (i.e., one or more controllers). [col. 11, claim 7];

As per claim 10:

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Due to the similarity of claim 10 to claims 1-4, except for a method for facilitating validation of data retrieved from a disk comprising steps of receiving a write request, calculating a new checksum, retrieving a current checksum, ect... instead of a method for facilitating validation of data retrieved from a secondary storage device comprising steps of receiving a write request, calculating a new checksum, retrieving a current checksum, ect...therefore, this claim is also rejected under the same rationale applied against claims 1-4. In addition, all of the limitations have been noted in the rejection as per claims 1-4.

As per claims 11-19:

These claims are the same as per claims 1-9. The only minor different is that this claim is directed to **computer-readable storage medium** instead of a method for facilitating validation of data retrieved from a secondary storage device comprising steps of receiving a write request, calculating a new checksum, retrieving a current checksum, etc... as described in claims 1-9. Therefore, these claims are also rejected under the same rationale applied against claims 1-9.

As per claims 20-28:



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Due to the similarity of claims 20-28 to claims 1-9, except for a method for facilitating validation of data retrieved from a secondary storage device comprising capabilities of receiving a write request, calculating a new checksum, retrieving a current checksum, ect... instead of a method for facilitating validation of data retrieved from a secondary storage device comprising steps of receiving a write request, calculating a new checksum, retrieving a current checksum, ect...therefore, these claims are also rejected under the same rationale applied against claims 1-9. In addition, all of the limitations have been noted in the rejection as per claims 1-9.

#### Conclusion

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

5. A shortened statutory period for response to this action is set to expired THREE (3) months, ZERO days from the date of this letter. Failure to respond within the period for response will cause the application to be abandoned. 35 U.S.C. 133.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dieu-Minh Le whose telephone number is (703)305-9408 [NOTE: After approximately October 15, 2004, I can be reached at the new number (571) 272-3660]. The examiner can normally be reached on Monday - Thursday from 8:30 AM to 6:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert Beausoliel can be reached on (703)305-9713. The fax phone number for the

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organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



**DIEU-MINH THAI LE  
PRIMARY EXAMINER  
ART UNIT 2114**

DML  
10/12/04